

ECEN 667

Power System Stability

Lecture 19: Stability Signal Analysis, Part 2

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Announcements



- Read the following paper by Nov 11th :
 - S. Maslennikov and E. Litvinov, "ISO New England Experience in Locating the Source of Oscillations Online," in *IEEE Transactions on Power Systems*, vol. 36, no. 1, pp. 495-503, Jan. 2021
- HW #5 is on the website, due Nov 20th at 8 AM.
- Review the slides and PowerWorld examples
- **Exam 2 will be Tuesday, December 2nd, 2025**

Oscillations



- An oscillation is just a repetitive motion that can be either undamped, positively damped (decaying with time) or negatively damped (growing with time)
- If the oscillation can be written as a sinusoid then

$$e^{\alpha t} (a \cos(\omega t) + b \sin(\omega t)) = e^{\alpha t} C \cos(\omega t + \theta)$$

$$\text{where } C = \sqrt{A^2 + B^2} \text{ and } \theta = \tan\left(\frac{-b}{a}\right)$$

- The damping ratio is

$$\xi = \frac{-\alpha}{\sqrt{\alpha^2 + \omega^2}}$$

The percent damping is just the damping ratio multiplied by 100; goal is sufficiently positive damping

Measurement-Based Modal Analysis



- There are a number of different approaches
- The idea of all techniques is to approximate a signal, $y_{\text{org}}(t)$, by the sum of other, simpler signals (basis functions)
 - Basis functions are usually exponentials, with linear and quadratic functions used to detrend the signal
 - Properties of the original signal can be quantified from basis function properties
 - Examples are frequency and damping
 - Signal is considered over time with $t=0$ as the start
- Approaches sample the original signal $y_{\text{org}}(t)$

Measurement-Based Modal Analysis 2



- Vector \mathbf{y} consists of m uniformly sampled points from $y_{\text{org}}(t)$ at a sampling value of ΔT , starting with $t=0$, with values y_j for $j=1 \dots m$
 - Times are then $t_j = (j-1)\Delta T$
 - At each time point j , the approximation of y_j is

$$\hat{y}_j(t_j, \boldsymbol{\alpha}) = \sum_{i=1}^n b_i \phi_i(t_j, \boldsymbol{\alpha})$$

where $\boldsymbol{\alpha}$ is a vector with the real and imaginary eigenvalue components, with $\phi_i(t_j, \boldsymbol{\alpha}) = e^{\alpha_i t_j}$ for α_i corresponding to a real eigenvalue, and $\phi_i(t_j, \boldsymbol{\alpha}) = e^{\alpha_i t_j} \cos(\alpha_{i+1} t_j)$ and $\phi_{i+1}(t_j, \boldsymbol{\alpha}) = e^{\alpha_i t_j} \sin(\alpha_{i+1} t_j)$ for a complex eigenvalue

Measurement-Based Modal Analysis 3



- Error (residual) value at each point j is

$$r_j(t_j, \boldsymbol{\alpha}) = y_j - \hat{y}_j(t_j, \boldsymbol{\alpha})$$

- The closeness of the fit can be quantified using the Euclidean norm of the residuals

$$\frac{1}{2} \sum_{j=1}^m (y_j - \hat{y}_j(t_j, \boldsymbol{\alpha}))^2 = \frac{1}{2} \|\mathbf{r}(\boldsymbol{\alpha})\|_2^2$$

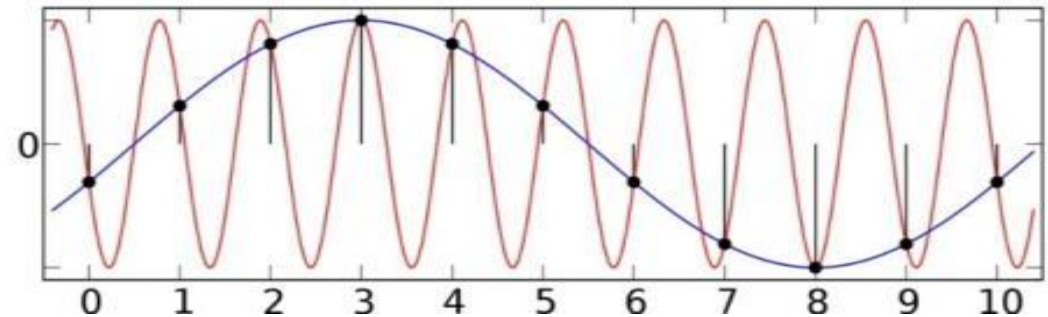
- Hence we need to determine $\boldsymbol{\alpha}$ and \mathbf{b}

$$\hat{y}_j(t_j, \boldsymbol{\alpha}) = \sum_{i=1}^n b_i \phi_i(t_j, \boldsymbol{\alpha})$$

Sampling Rate and Aliasing



- The Nyquist-Shannon sampling theory requires sampling at twice the highest desired frequency
 - For example, to see a 5 Hz frequency we need to sample the signal at a rate of at least 10 Hz
- Sampling shifts the frequency spectrum by $1/T$ (where T is the sample time), which causes frequency overlap
- This is known as aliasing, which can cause a high frequency signal to appear to be a lower frequency signal
 - Aliasing can be reduced by fast sampling and/or low pass filters



One Solution Approach: The Matrix Pencil Method



- There are several algorithms for finding the modes. We'll use the Matrix Pencil Method
 - This is a newer technique for determining modes from noisy signals (from about 1990, introduced to power system problems in 2005); it is an alternative to the Prony Method
 - The Matrix Pencil Method is useful when there is signal noise
- Given m samples, with $L=m/2$, the first step is to form the Hankel Matrix, \mathbf{Y} such that

This not a sparse matrix

$$\mathbf{Y} = \begin{bmatrix} y_1 & y_2 & \cdots & y_{L+1} \\ y_2 & y_3 & \cdots & y_{L+2} \\ \vdots & \vdots & \ddots & \vdots \\ y_{m-L} & y_{m-L+1} & \cdots & y_m \end{bmatrix}$$

Algorithm Details, cont.



- Then calculate \mathbf{Y} 's singular values using an economy singular value decomposition (SVD)

$$\mathbf{Y} = \mathbf{U}\mathbf{\Sigma}\mathbf{V}^T$$

- The ratio of each singular value is then compared to the largest singular value σ_c ; retain the ones with a ratio $>$ than a threshold
 - This determines the modal order, M
 - Assuming \mathbf{V} is ordered by singular values (highest to lowest), let \mathbf{V}_p be then matrix with the first M columns of \mathbf{V}

The computational complexity increases with the cube of the number of measurements!

This threshold is a value that can be changed; decrease it to get more modes.

Aside: The Matrix Singular Value Decomposition (SVD)



- The SVD is a factorization of a matrix that generalizes the eigendecomposition to any m by n matrix to produce

$$Y = U\Sigma V^T$$

The original concept is more than 100 years old, but has found lots of recent applications

- where Σ is a diagonal matrix of the singular values
- The singular values are non-negative, real numbers that can be used to indicate the major components of a matrix (the gist is they provide a way to decrease the rank of a matrix)

Aside: SVD Image Compression Example

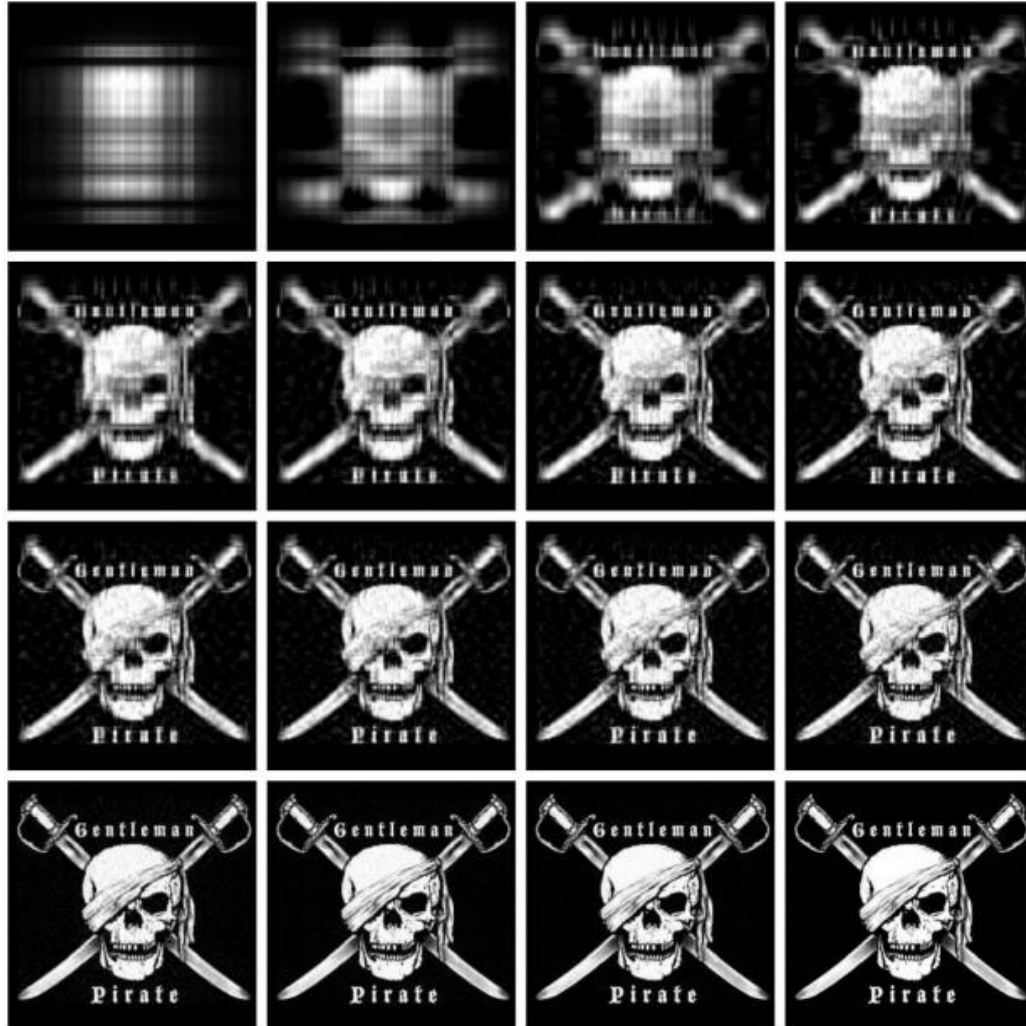


Figure 3.1: Image size 250x236 – modes used
 {{1,2,4,6},{8,10,12,14},{16,18,20,25},{50,75,100,original image}}

Images can be represented with matrices. When an SVD is applied and only the largest singular values are retained the image is compressed.

Image Source:
www.math.utah.edu/~goller/F15_M2270/BradyMathews_SVDImage.pdf

Matrix Pencil Algorithm Details, cont.



- Then form the matrices \mathbf{V}_1 and \mathbf{V}_2 such that
 - \mathbf{V}_1 is the matrix consisting of all but the last row of \mathbf{V}_p
 - \mathbf{V}_2 is the matrix consisting of all but the first row of \mathbf{V}_p
- Discrete-time poles are found as the generalized eigenvalues of the pair $(\mathbf{V}_2^T \mathbf{V}_1, \mathbf{V}_1^T \mathbf{V}_1) = (\mathbf{A}, \mathbf{B})$
- These eigenvalues are the discrete-time poles, z_i with the modal eigenvalues then

$$\lambda_i = \frac{\ln(z_i)}{\Delta T}$$

If \mathbf{B} is nonsingular (the situation here) then the generalized eigenvalues are the eigenvalues of $\mathbf{B}^{-1}\mathbf{A}$

The log of a complex number $z=r\angle\theta$ is $\ln(r) + j\theta$

Matrix Pencil Method with Many Signals



- The Matrix Pencil approach can be used with one signal or with multiple signals
- Multiple signals are handled by forming a \mathbf{Y}_k matrix for each signal k using the measurements for that signal and then combining the matrices

$$\mathbf{Y}_k = \begin{bmatrix} y_{1,k} & y_{2,k} & \cdots & y_{L+1,k} \\ y_{2,k} & y_{3,k} & \cdots & y_{L+2,k} \\ \vdots & \vdots & \ddots & \vdots \\ y_{m-L,k} & y_{m-L+1,k} & \cdots & y_{m,k} \end{bmatrix}$$

$$\mathbf{Y} = \begin{bmatrix} \mathbf{Y}_1 \\ \vdots \\ \mathbf{Y}_N \end{bmatrix}$$

The required computation scales linearly with the number of signals

Matrix Pencil Method with Many Signals 2



- However, when dealing with many signals, usually the signals are somewhat correlated, so vary few of the signals are actually need to be included to determine the desired modes
- Ultimately we are finding

$$y_j(t_j, \boldsymbol{\alpha}) = \sum_{i=1}^n b_i \phi_i(t_j, \boldsymbol{\alpha})$$

- The $\boldsymbol{\alpha}$ is common to all the signals (i.e., the system modes) while the \mathbf{b} vector is signal specific (i.e., how the modes manifest in that signal)

Quickly Determining the \mathbf{b} Vectors



- A key insight is from an approach known as the Variable Projection Method (from Borden, 2013) that for any signal k

$$\mathbf{y}_k = \mathbf{\Phi}(\boldsymbol{\alpha})\mathbf{b}_k$$

And then the residual is minimized by selecting $\mathbf{b}_k = \mathbf{\Phi}(\boldsymbol{\alpha})^+\mathbf{y}_k$

where $\mathbf{\Phi}(\boldsymbol{\alpha})$ is the m by n matrix with values

$\Phi_{ji}(\boldsymbol{\alpha}) = e^{\alpha_i t_j}$ if α_i corresponds to a real eigenvalue,

and $\Phi_{ji}(\boldsymbol{\alpha}) = e^{\alpha_i t_j} \cos(\alpha_{i+1} t_j)$ and $\Phi_{ji+1}(\boldsymbol{\alpha}) = e^{\alpha_i t_j} \sin(\alpha_{i+1} t_j)$

for a complex eigenvalue; $t_j = (j - 1)\Delta T$

Finally, $\mathbf{\Phi}(\boldsymbol{\alpha})^+$ is the pseudoinverse of $\mathbf{\Phi}(\boldsymbol{\alpha})$

Where m is the number of measurements and n is the number of modes

Aside: Pseudoinverse of a Matrix



- The pseudoinverse of a matrix generalizes concept of a matrix inverse to an m by n matrix, in which $m \geq n$
 - Specifically this is a Moore-Penrose Matrix Inverse
- Notation for the pseudoinverse of \mathbf{A} is \mathbf{A}^+
- Satisfies $\mathbf{A}\mathbf{A}^+\mathbf{A} = \mathbf{A}$
- If \mathbf{A} is a square matrix, then $\mathbf{A}^+ = \mathbf{A}^{-1}$
- Quite useful for solving the least squares problem since the least squares solution of $\mathbf{A}\mathbf{x} = \mathbf{b}$ is $\mathbf{x} = \mathbf{A}^+ \mathbf{b}$
- Can be calculated using an SVD

$$\mathbf{A} = \mathbf{U}\mathbf{\Sigma}\mathbf{V}^T$$

$$\mathbf{A}^+ = \mathbf{V}\mathbf{\Sigma}^+\mathbf{U}^T$$

Least Squares Matrix Pseudoinverse Example



- Assume we wish to fit a line ($mx + b = y$) to three data points: (1,1), (2,4), (6,4)
- Two unknowns, m and b ; hence $\mathbf{x} = [m \ b]^T$
- Setup in form of $\mathbf{Ax} = \mathbf{b}$

$$\begin{bmatrix} 1 & 1 \\ 2 & 1 \\ 6 & 1 \end{bmatrix} \begin{bmatrix} m \\ b \end{bmatrix} = \begin{bmatrix} 1 \\ 4 \\ 4 \end{bmatrix} \quad \text{so } \mathbf{A} = \begin{bmatrix} 1 & 1 \\ 2 & 1 \\ 6 & 1 \end{bmatrix}$$

Least Squares Matrix Pseudoinverse Example, cont.



- Doing an economy SVD

$$\mathbf{A} = \mathbf{U}\mathbf{\Sigma}\mathbf{V}^T = \begin{bmatrix} -0.182 & -0.765 \\ -0.331 & -0.543 \\ -0.926 & 0.345 \end{bmatrix} \begin{bmatrix} 6.559 & 0 \\ 0 & 0.988 \end{bmatrix} \begin{bmatrix} -0.976 & -0.219 \\ 0.219 & -0.976 \end{bmatrix}$$

- Computing the pseudoinverse

$$\mathbf{A}^+ = \mathbf{V}\mathbf{\Sigma}^+\mathbf{U}^T = \begin{bmatrix} -0.976 & 0.219 \\ -0.219 & -0.976 \end{bmatrix} \begin{bmatrix} 0.152 & 0 \\ 0 & 1.012 \end{bmatrix} \begin{bmatrix} -0.182 & -0.331 & -0.926 \\ -0.765 & -0.543 & 0.345 \end{bmatrix}$$

$$\mathbf{A}^+ = \mathbf{V}\mathbf{\Sigma}^+\mathbf{U}^T = \begin{bmatrix} -0.143 & -0.071 & 0.214 \\ 0.762 & 0.548 & -0.310 \end{bmatrix}$$

In an economy SVD the \mathbf{S} matrix has dimensions of m by m if $m < n$ or n by n if $n < m$

Least Squares Matrix Pseudoinverse Example, cont. 2



- Computing $\mathbf{x} = [m \ b]^T$ gives

$$\mathbf{A}^+ \mathbf{b} = \begin{bmatrix} -0.143 & -0.071 & 0.214 \\ 0.762 & 0.548 & -0.310 \end{bmatrix} \begin{bmatrix} 1 \\ 4 \\ 4 \end{bmatrix} = \begin{bmatrix} 0.429 \\ 1.71 \end{bmatrix}$$

- With the pseudoinverse approach we immediately see the sensitivity of the elements of \mathbf{x} to the elements of \mathbf{b}
 - New values of m and b can be readily calculated if \mathbf{y} changes
- Computationally the SVD is order mn^2+n^3 (with $n < m$)
 - In this example it means it scales linearly with the number of points; matrices with $m \gg n$ are common

Computational Considerations



- When there is just one signal, the procedure scales with the cube of the number of measurements
 - This value is usually relatively small, say 20 seconds of data sampled at 10 Hz for 200 measurements
- If multiple signals are included, it scales linearly with the number of signals
- However, a key insight is once α has been determined, each \mathbf{b}_k can be determined with a matrix multiply of a matrix with dimensions of the number of modes and number of measurements

$$\mathbf{y}_k = \Phi(\alpha)\mathbf{b}_k \rightarrow \mathbf{b}_k = \Phi(\alpha)^+ \mathbf{y}_k$$

$\Phi(\alpha)^+$ is the pseudoinverse of $\Phi(\alpha)$

We can quickly determine how well \mathbf{a} matches each signal

Modal Analysis in PowerWorld

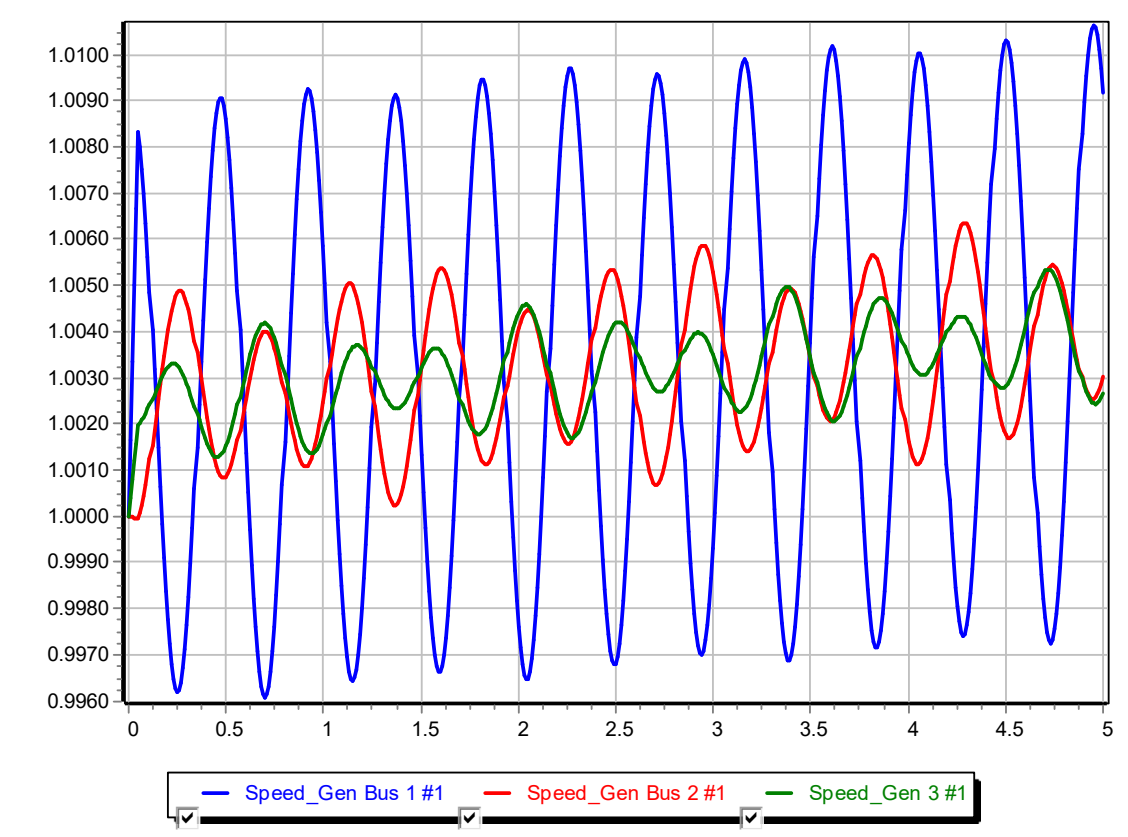
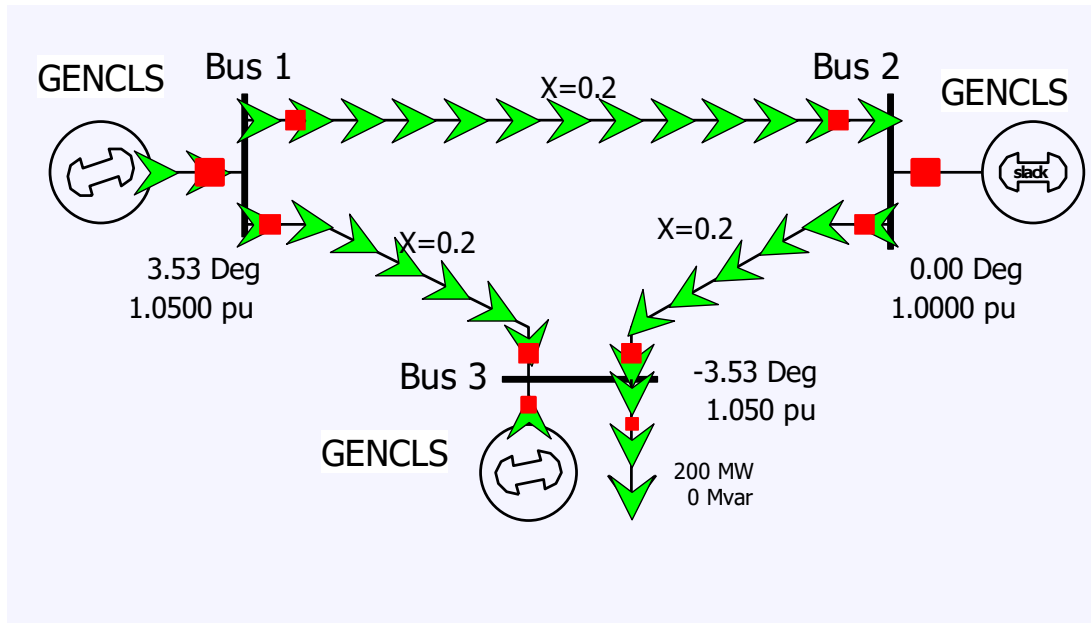


- Goal is to make modal analysis easy to use, and easy to visualize the results
- Provided tool can be used with either transient stability results or actual system signals (e.g., from PMUs)
- Three ways to access in PowerWorld
 - From the Modal Analysis button (in **Add-Ons**)
 - On the Transient Stability Analysis form left menu, **Modal Analysis** (right below SMIB Eigenvalues)
 - By right-clicking on a transient stability or plot case information display, and selecting **Modal Analysis Selected Columns** or **Modal Analysis All Columns**

Modal Analysis: Three Generator Example



- A short fault at $t=0$ gets the below three generator case oscillating with multiple modes (mostly clearly visible for the red and the green curve)



Modal Analysis: Three Generator Example 2



- Open the case **B3_CLS_UnDamped**
 - This system has three classical generators without damping; the default event is a self clearing fault at bus 1
- Run the transient stability for 5 seconds
- To do modal analysis, on the Transient Stability page select Results from RAM, view just the generator speed fields, right-click and select **Modal Analysis All Columns**
 - This display the Modal Analysis Form

Modal Analysis Form



First click on **Do Modal Analysis** to run the modal analysis

Modal Analysis Form

Modal Analysis Status: Solved at 11/9/2021 10:02:26 AM

Data Source Type

From Plot: Gen_Speed

From File: [Browse]

Just Load Signals Group Disabled for Existing Data

Data Sampling Time (Seconds) and Frequency (Hz)

Start Time: 0.050 End Time: 5.000 Maximum Hz: 5.000

Update Sampled Data

Calculation Method

Matrix Pencil (Once)

Do Modal Analysis

Save in JSIS Format Save to CSV

Results

Number of Complex and Real Modes: 2

Lowest Percent Damping: -0.011

Include Detrend in Reproduced Signals

Subtract Reproduced from Actual

Update Reproduced Signals

Real and Complex Modes - Editable to Change Initial Guesses

	Frequency (Hz)	Damping (%)	Largest Component in Mode, Unscaled	Name of Signal with Largest Component in Mode, Unscaled	Average Component in Mode, Unscaled	Ratio Average to Largest Component in Mode, UnScaled	Largest Component in Mode, Scaled	Name of Signal with Largest Component in Mode, Scaled
1	2.232	0.001	0.00642	Gen Bus 1 #1 S	0.00314	0.4900	1.404	Gen Bus 1 #1 S
2	1.510	-0.011	0.00063	Gen Bus 2 #1 S	0.00043	0.6838	0.615	Gen Bus 2 #1 S

Input Data, Actual | Sampled Input Data | Signals | Options | Reproduced Data | Iterative Matrix Pencil Iteration Details

	Type	Name	Latitude	Longitude	Description	Units	Include	Include in Reproduced	Exclude from Iterative Matrix Pencil (IMP)	Always include in Iterative Matrix Pencil (IMP)	Detrend Parameter A	Detrend Parameter B	Post-Detrend Number Zeros	Post-Detrend Standard Deviation	Solved	Averaging
1	Gen	Gen Bus 1 #1 Speed			Speed		YES	YES	NO	NO	1.0024	0.0004	0	0.00457	YES	
2	Gen	Gen Bus 2 #1 Speed			Speed		YES	YES	NO	NO	1.0024	0.0003	0	0.00147	YES	
3	Gen	Gen Bus 3 #1 Speed			Speed		YES	YES	NO	NO	1.0025	0.0003	0	0.00082	YES	

Close Help Print

Right-click on signal to view its dialog

Signals to include

Key results are shown in the upper-right of the form. There are two main modes, one at 2.23Hz and one at 1.51; both have very little damping.

Three Generator Example: Signal Dialog



- The **Signal Dialog** provides details about each signal, including its modal components and a comparison between the original and reproduced signals (example for gen 3)

Modal Analysis Signal Dialog

Name: Gen 3 #1 Speed
Type: Gen
Units:
Description: Speed
 Include in Modal Analysis
 Always Exclude Signal During IMP
 Always Include Signal During IMP

Data Detrend Parameters
Detrend Model = $A + B*(t-t_0) + C*(t-t_0)^2$
 Use Case Default Detrend Model
Signal Specific Detrend Model:
 None Linear Constant Quadratic
Used Detrend Model: Linear
Parameter A: 1.0025
Parameter B: 0.0003
Parameter C: 0.0000
Standard Deviation (SD): 0.0008

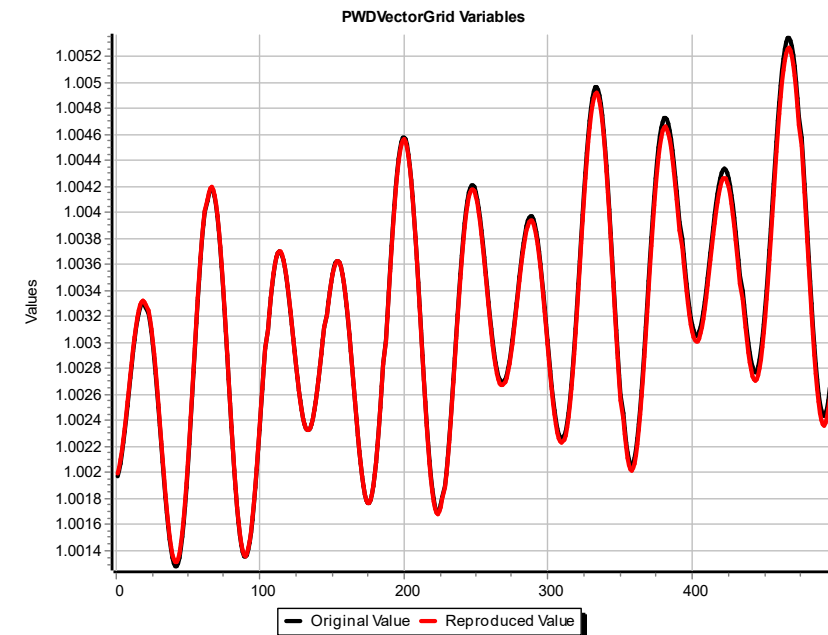
Output Summary
Average Error, Scaled by SD: 0.0000
Average Error, Unscaled: 0.0000
Cost Function Value, Scaled: 0.0068
 Include Detrend in Reproduced Signal
Update Reproduced

Actual Input | Sampled Input | Fast Fourier Transform Results | Modal Results | Original and Reproduced Signal Comparison

	Time (Seconds)	Original Value	Reproduced Value	Difference
1	0.050	1.002	1.002	0.000
2	0.058	1.002	1.002	0.000
3	0.067	1.002	1.002	0.000
4	0.075	1.002	1.002	0.000
5	0.083	1.002	1.002	0.000
6	0.092	1.002	1.002	0.000
7	0.100	1.002	1.002	0.000
8	0.108	1.002	1.002	0.000
9	0.117	1.002	1.003	0.000
10	0.125	1.003	1.003	0.000
11	0.133	1.003	1.003	0.000
12	0.142	1.003	1.003	0.000
13	0.150	1.003	1.003	0.000
14	0.158	1.003	1.003	0.000
15	0.167	1.003	1.003	0.000
16	0.175	1.003	1.003	0.000
17	0.183	1.003	1.003	0.000
18	0.192	1.003	1.003	0.000
19	0.200	1.003	1.003	0.000
20	0.208	1.003	1.003	0.000
21	0.217	1.003	1.003	0.000

OK Help Print

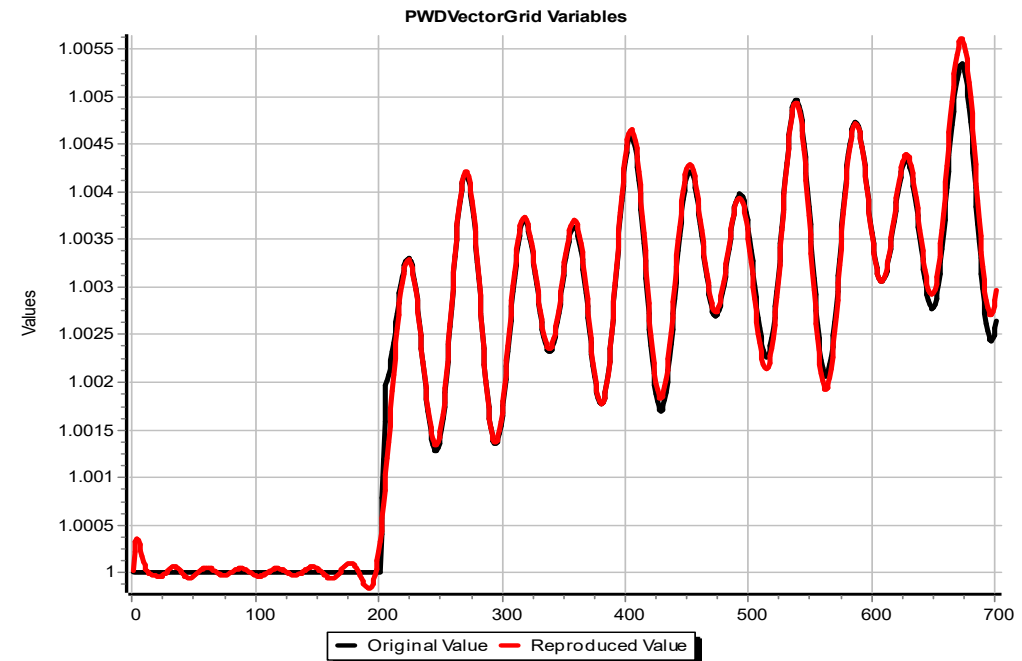
Plotting the original and reproduced signals shows a near exact match



Caution: Setting Time Range Incorrectly Can Result in Unexpected Results!



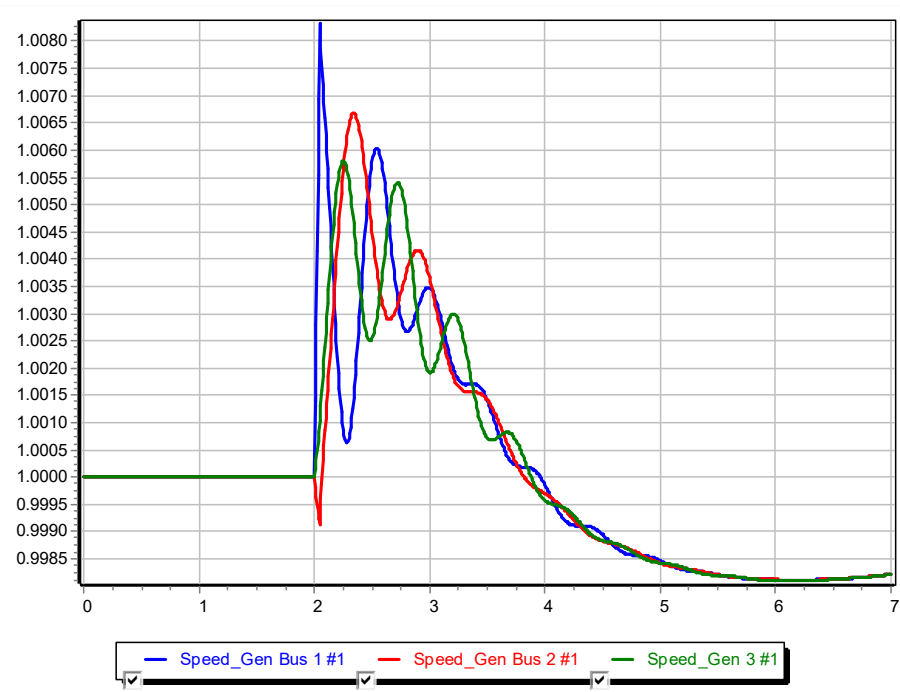
- Assume the system is run with no disturbance for two seconds, and then the fault is applied and the system is run for a total of seven seconds (five seconds post-fault)
 - The incorrect approach would be to try to match the entire signal; rather just match from after the fault
 - Trying to match the full signal between 0 and 7 seconds required eleven modes!
 - By default the **Modal Analysis Form** sets the default start time to immediately after the last event



GENROU Example with Damping



- Open the case **B3_GENROU**, which changes the GENCLS to GENROU models, adding damping
 - Also each has an EXST1 exciter and a TGOV1 governor
 - The simulation runs for seven seconds, with the fault occurring at two seconds; modal analysis is done from the time the fault is cleared until the end of the simulation.



The image shows the generator speeds. The initial rise in the speed is caused by the load dropping during the fault, causing a power mismatch; this is corrected by the governors. Note the system now has damping; modal analysis tells us how much.

GENROU Example with Damping 2



Modal Analysis Form

Modal Analysis Status: Solved at 11/9/2021 10:07:41 AM

Data Source Type

From Plot: Gen_Speed

From File: [Browse]

Just Load Signals **Group Disabled for Existing Data**

Data Sampling Time (Seconds) and Frequency (Hz)

Start Time: 2.050 End Time: 7.000

Maximum Hz: 5.000

Update Sampled Data

Calculation Method

Matrix Pencil (Once)

Do Modal Analysis

Save in JSIS Format Save to CSV

Results

Number of Complex and Real Modes: 4

Lowest Percent Damping: -34.022

Include Detrend in Reproduced Signals

Subtract Reproduced from Actual

Update Reproduced Signals

Real and Complex Modes - Editable to Change Initial Guesses

	Frequency (Hz)	Damping (%)	Largest Component in Mode, Unscaled	Name of Signal with Largest Component in Mode, Unscaled	Average Component in Mode, Unscaled	Ratio Aver to Large Componer Mode, UnScale
1	2.053	11.353	0.00352	Gen 3 #1 Speed	0.00231	0.6
2	1.649	19.638	0.00452	Gen Bus 2 #1 S	0.00292	0.6
3	0.236	65.427	0.00662	Gen Bus 2 #1 S	0.00640	0.9
4	0.098	-34.022	0.00088	Gen Bus 1 #1 S	0.00084	0.9

Input Data, Actual, Sampled Input Data, Signals, Options, Reproduced Data, Iterative Matrix Pencil Iteration Details

Type	Name	Latitude	Longitude	Description	Units	Include	Include Reproduced	Exclude from Iterative Matrix Pencil (IMP)	Always include in Iterative Matrix Pencil (IMP)	Detrend Parameter A	Detrend Parameter B	Post-Detrend Number Zeros	Post-D Stan Devia
1 Gen	Gen Bus 1 #1 Speed			Speed		YES	YES	NO	NO	1.0037	-0.0014	0	0.0012
2 Gen	Gen Bus 2 #1 Speed			Speed		YES	YES	NO	NO	1.0034	-0.0014	0	0.0012
3 Gen	Gen 3 #1 Speed			Speed		YES	YES	NO	NO	1.0035	-0.0014	0	0.0011

Close Help Print

Start time
default value

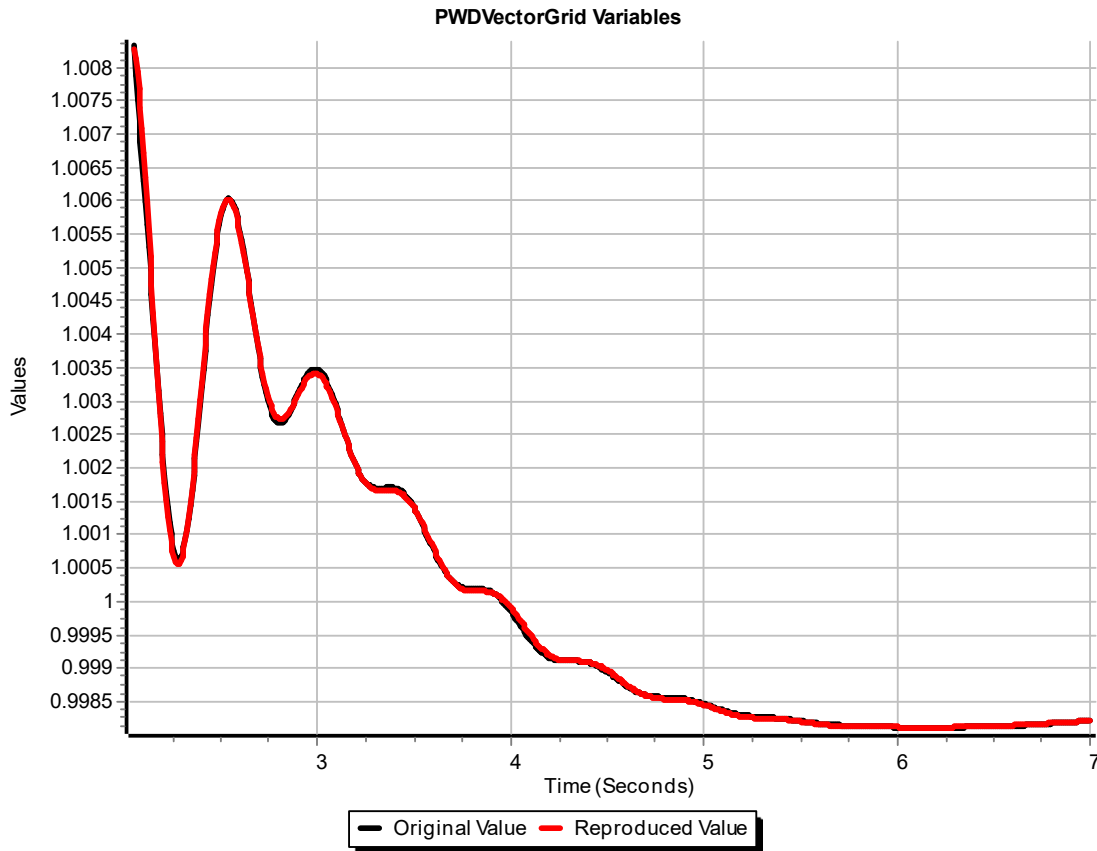
ing, and
each mode
in the signals. The slower mode
is associated with the governors.

GENROU Example with Damping 3



- Left image show how well the speed for generator 1 is approximated by the modes

More signal details



Modal Analysis Signal Dialog

Name: Gen Bus 1 #1 Speed
 Type: Gen
 Units:
 Description: Speed

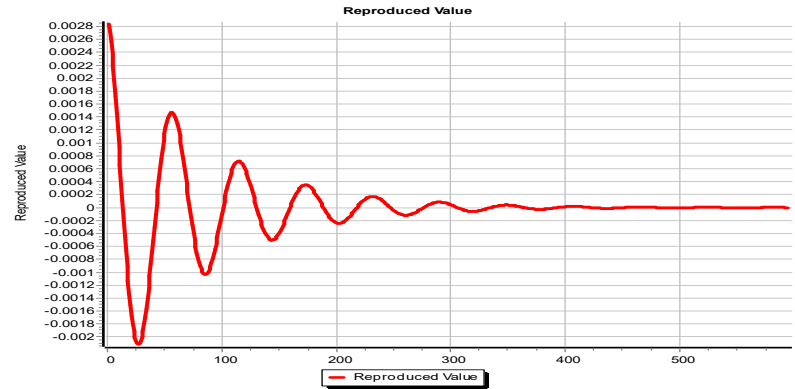
Include in Modal Analysis

Data Detrend Parameters
 Detrend Model = $A + B*(t-t_0) + C*(t-t_0)^2$ Used Detrend Model: Linear
 Use Case Default Detrend Model
 Signal Specific Detrend Model: None Linear Constant Quadratic

Parameter A: 1.0037
 Parameter B: -0.0014
 Parameter C: 0.0000
 Standard Deviation (SD): 0.0013

Output Summary: Average E, Average E, Cost Funct, Include, Upda

	Damping (%)	Frequency (Hz)	Magnitude Scaled by SD	Magnitude, Unscaled	Angle (Deg)	Lambda	Include in Reproduced Signal
1	11.353	2.053	2.300	0.003	13.82	-1.474	YES
2	19.638	1.649	2.038	0.003	10.46	-2.075	YES
3	65.427	0.236	4.757	0.006	-91.36	-1.283	YES
4	-34.022	0.098	0.689	0.001	135.64	0.222	YES



Just the 2.05 Hz mode

Dealing with Multiple Signals



- When there are many signals, usually they are at least somewhat correlated, so we do not need to include all the signals in the calculation of α .
- Based on the previous quick calculation of \mathbf{b}_k , we can determine how well the signals match the α .
- A natural algorithm for improving is to include the signals that do not match α well. That is, have high residuals.
- This gave rise to what is called the Iterative Matrix Pencil algorithm.

Iterative Matrix Pencil Method



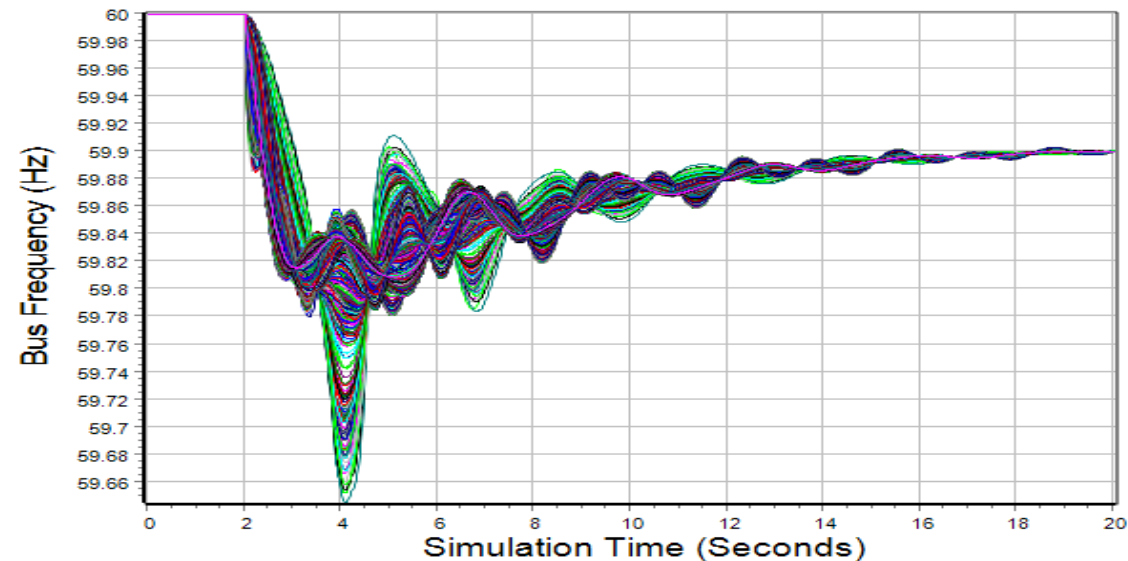
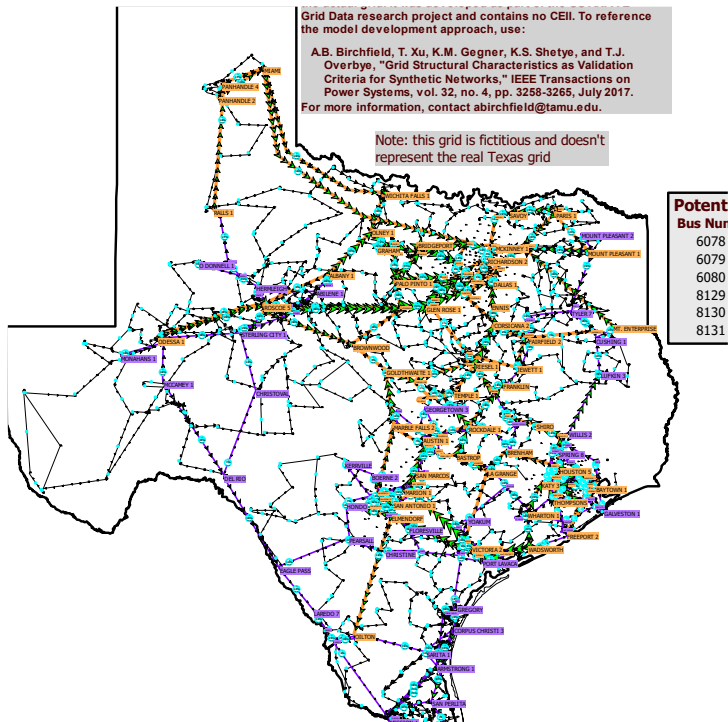
- When there are a large number of signals the iterative matrix pencil method works by
 - Selecting an initial signal to calculate the α vector
 - Quickly calculating the \mathbf{b} vectors for all the signals, and getting a cost function for how closely the reconstructed signals match their sampled values
 - Selecting a signal that has a high cost function, and repeating the above adding this signal to the algorithm to get an updated α

An open access paper describing this is W. Trinh, K.S. Shetye, I. Idehen, T.J. Overbye, "Iterative Matrix Pencil Method for Power System Modal Analysis," *Proc. 52nd Hawaii International Conference on System Sciences*, Wailea, HI, January 2019; available at scholarspace.manoa.hawaii.edu/handle/10125/59803

Texas 2000 Bus Synthetic Grid Example



- For this example we'll again use the Texas 2000 bus grid, saved as TSGC_2000_GenDrop
- We'll use the Iterative Matrix Pencil Method to examine its modes
 - The contingency is the loss of two large generators (at bus 7098 and 7099)

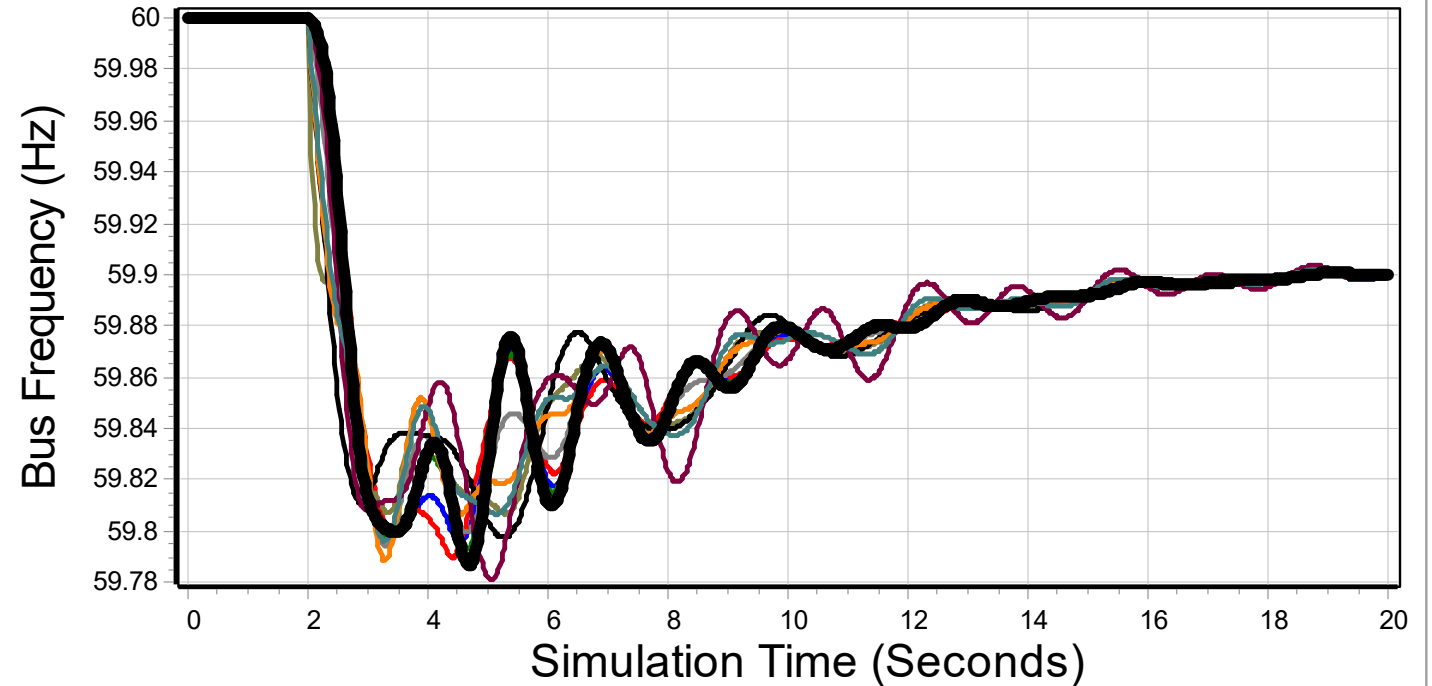


The measurements will be the frequencies at all 2000 buses

2000 Bus System Example, Initially Just One Signal



- Initially our goal is to understand the modal frequencies and their damping
- First we'll consider just one of the 2000 signals; arbitrarily I selected bus 8126 (Mount Pleasant)



Some Initial Considerations



- The input is a dynamics study running using a $\frac{1}{2}$ cycle time step; data was saved every 3 steps, so at 40 Hz
 - The contingency was applied at time = 2 seconds
- We need to pick the portion of the signal to consider and the sampling frequency
 - Because of the underlying SVD, the algorithm scales with the cube of the number of time points (in a single signal)
- I selected between 2 and 17 seconds
- I sampled at ten times per second (so a total of 150 samples)

2000 Bus System Example, One Signal



- The results from the Matrix Pencil Method are

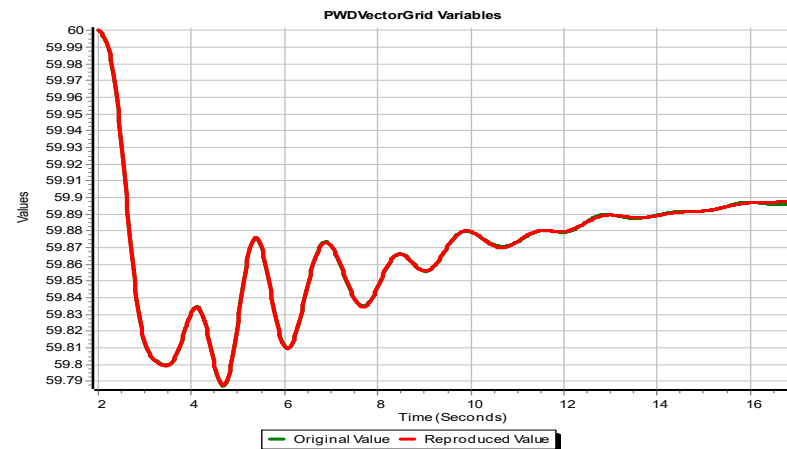
Number of Complex and Real Modes Include Detrend in Reproduced Signals
 Lowest Percent Damping Subtract Reproduced from Actual

Real and Complex Modes - Editable to Change Initial Guesses

	Frequency (Hz)	Damping (%)	Largest Component Mode, Unscaled	Name of Signal with Largest Component in Mode, Unscaled	Largest Component in Mode, Scaled	Name of Signal with Largest Component in Mode, Scaled	Lambda	Include in Reproduced Signal
1	0.387	32.011	0.44275	Bus 1073 (ODE)	12.224	Bus 7310 (WHA)	-0.8136	YES
2	0.670	24.191	0.38466	Bus 2120 (PARI)	11.549	Bus 8078 (MT. E)	-1.0490	YES
3	0.665	10.705	0.23093	Bus 2115 (PARI)	6.801	Bus 2115 (PARI)	-0.4501	YES
4	0.312	14.397	0.16911	Bus 1073 (ODE)	4.954	Bus 7310 (WHA)	-0.2855	YES
5	0.971	10.137	0.08179	Bus 1051 (MON)	2.551	Bus 6147 (SAN)	-0.6215	YES
6	0.052	41.828	0.04603	Bus 1074 (ODE)	1.063	Bus 3035 (CHER)	-0.1506	YES

Calculated mode information

Verification of results

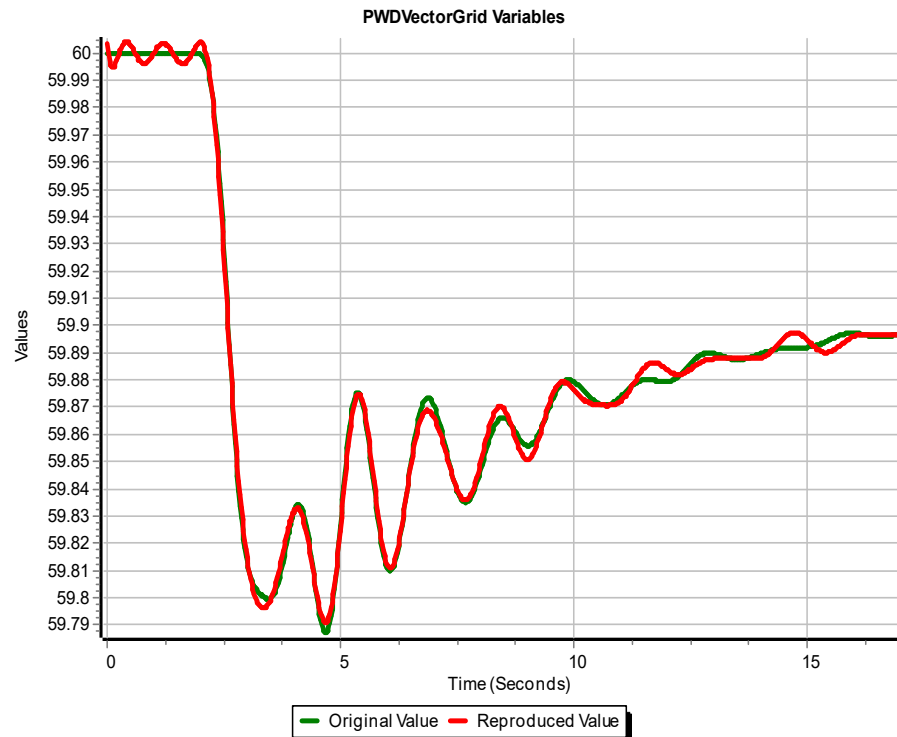


Some Observations



- These results are based on the consideration of just one signal
- The start time **should** be at or after the event!

If it isn't then...



The results show the algorithm trying to match the first two flat seconds; this should not be done!!

Results

Number of Complex and Real Modes: 8 Include Detrend in Reproduced Signals
 Lowest Percent Damping: -100.000 Subtract Reproduced from Actual

Real and Complex Modes - Editable to Change Initial Guesses

	Frequency (Hz)	Damping (%)	Largest Component Mode, Unscaled	Name of Signal with Largest Component in Mode, Unscaled	Largest Component in Mode, Scaled	Name of Signal with Largest Component in Mode, Scaled	Lambda	
1	0.000	100.000	0.93636	Bus 1073 (ODE)	14.030	Bus 1077 (ODE)	-1.6801	YE
2	0.240	44.396	0.82180	Bus 1073 (ODE)	12.073	Bus 1077 (ODE)	-0.7473	YE
3	0.025	84.809	0.43068	Bus 4026 (CHRI)	8.463	Bus 4026 (CHRI)	-0.2476	YE
4	0.408	4.729	0.10932	Bus 1073 (ODE)	1.587	Bus 1073 (ODE)	-0.1213	YE
5	0.645	6.111	0.09142	Bus 2115 (PARI)	1.694	Bus 2115 (PARI)	-0.2482	YE
6	0.751	6.110	0.05556	Bus 4192 (BROV)	1.042	Bus 4192 (BROV)	-0.2887	YE
7	0.954	3.484	0.02405	Bus 1051 (MON)	0.397	Bus 6147 (SAN)	-0.2089	YE
8	0.000	-100.000	0.01406	Bus 4026 (CHRI)	0.276	Bus 4026 (CHRI)	0.0565	YE

2000 Bus System Example, One Signal Included, Cost for All

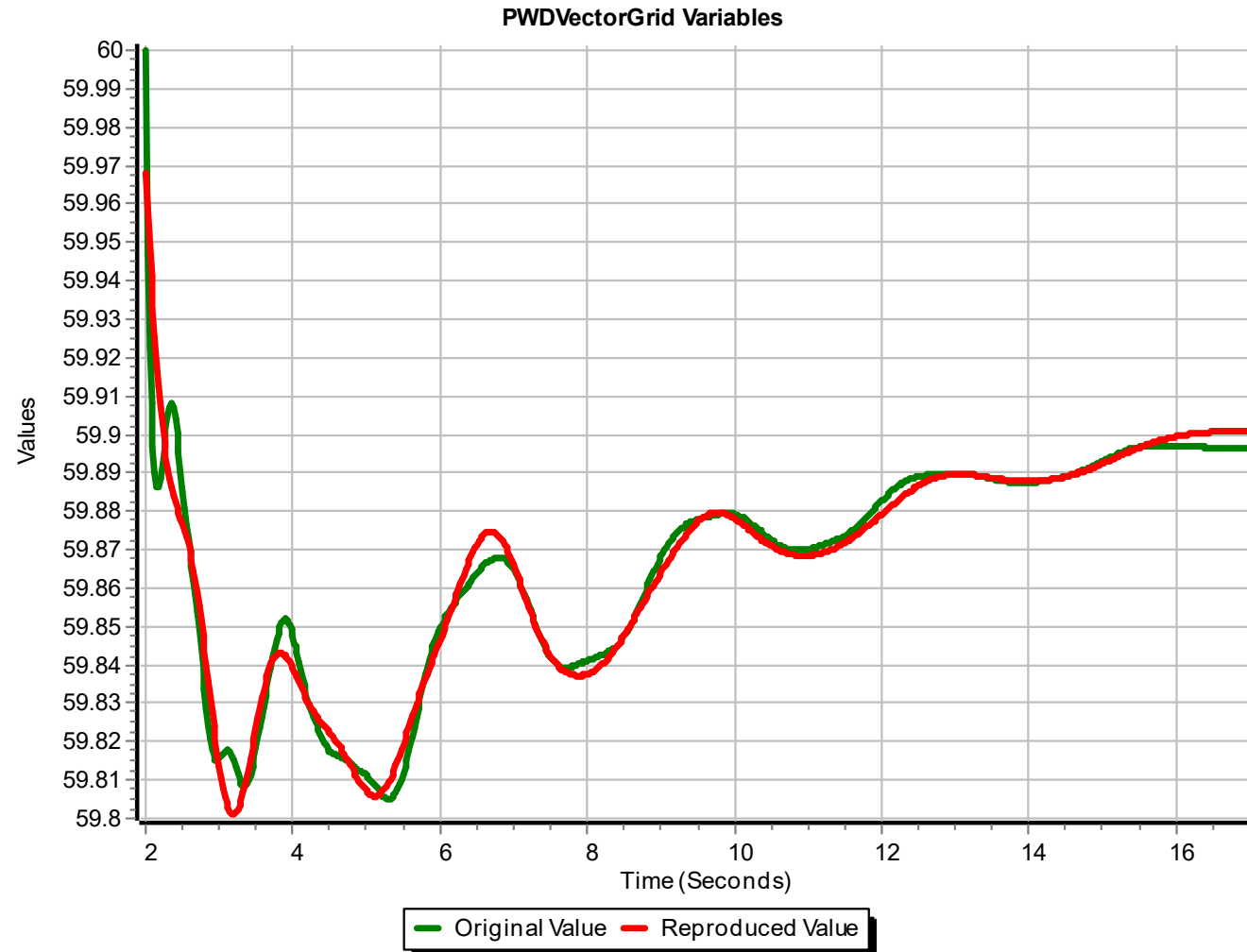


- Using the previously discussed pseudoinverse approach, for a given set of modes (α) the \mathbf{b}_k vectors for all the signals can be quickly calculated

$$\mathbf{b}_k = \Phi(\alpha)^+ \mathbf{y}_k$$

- The dimensions of the pseudoinverse are the number of modes by the number of sample points for one signal
- This allows each cost function to be calculated
- The Iterative Matrix Pencil approach sequentially adds the signals with the worst match (i.e., the highest cost function)

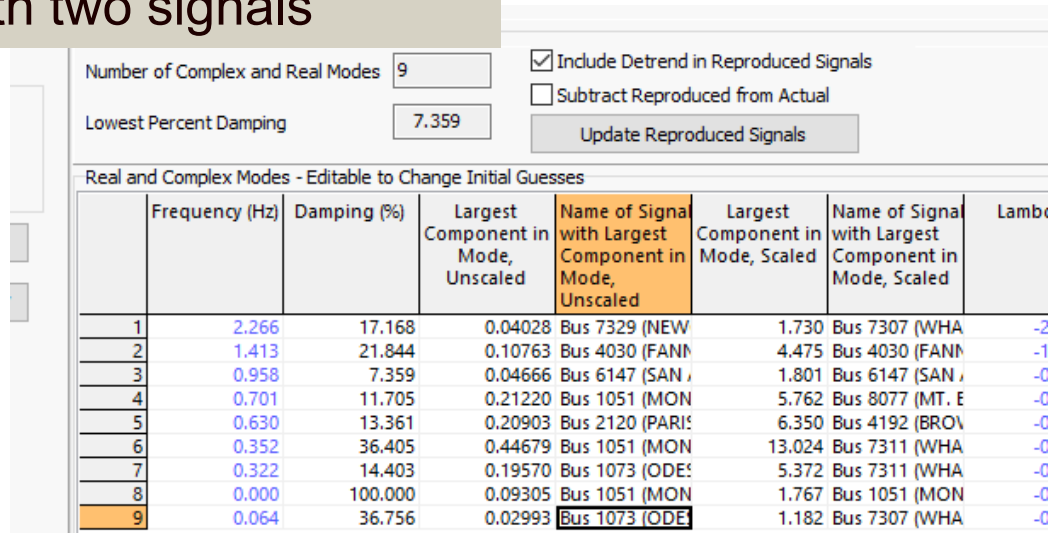
2000 Bus System Example, Worst Match (Bus 7061)



2000 Bus System Example, Two Signals

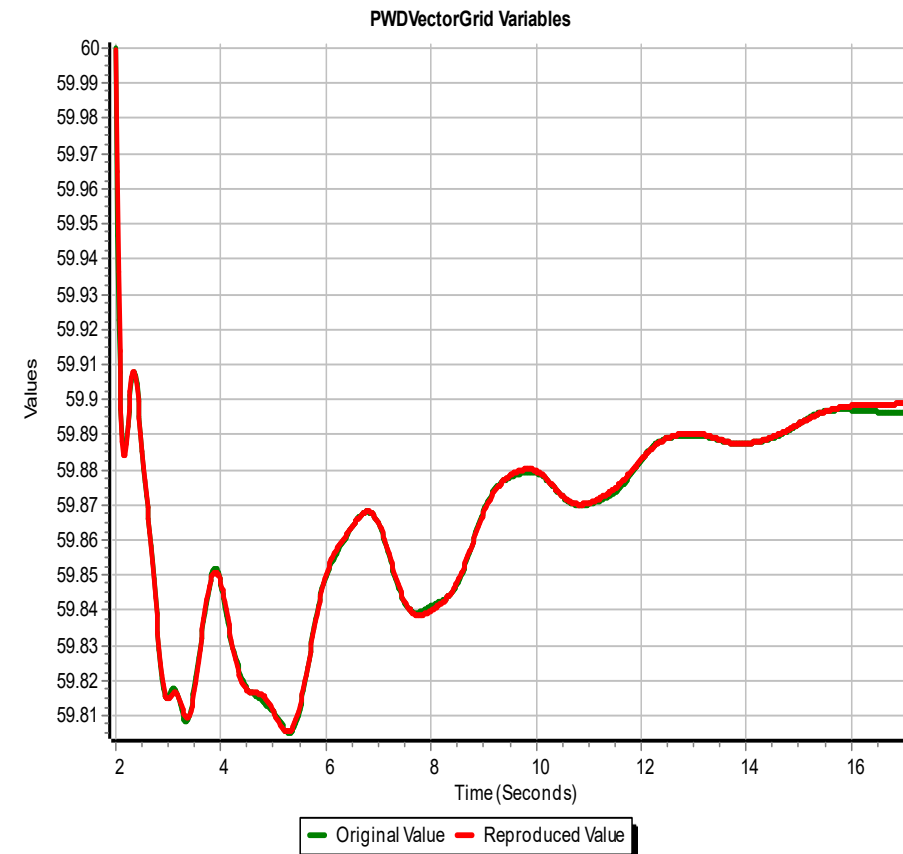
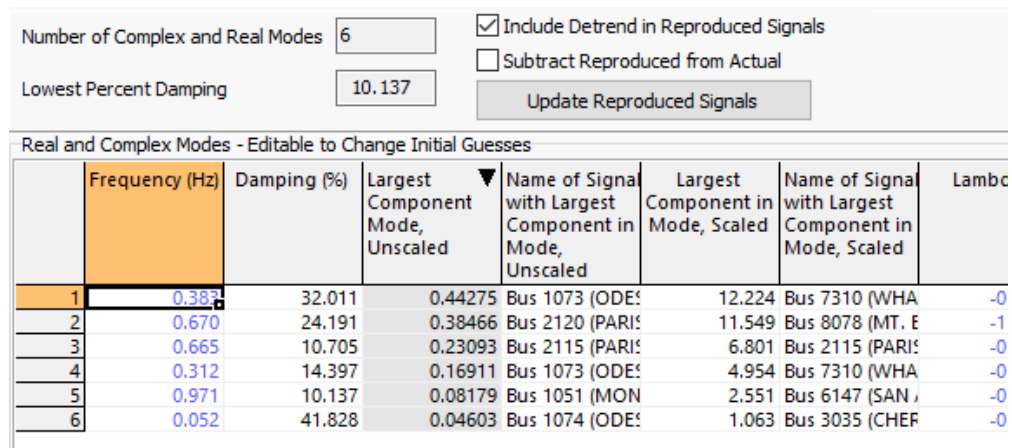


With two signals



The new match on the bus that was previously worst (Bus 7061) is now quite good!

With one signal



2000 Bus System Example, Iterative Matrix Pencil



- The Iterative Matrix Pencil intelligently adds signals until a specified number is met
 - Doing ten iterations takes about four seconds

	Frequency (Hz)	Damping (%) ▲	Largest Component in Mode, Unscaled	Name of Signal with Largest Component in Mode, Unscaled	Largest Component in Mode, Scaled	Name of Signal with Largest Component in Mode, Scaled	Lambda	Include in Reproduced Signal
1	0.631	6.082	0.10313	Bus BROWNSVI	3.292	Bus BROWNSVI	-0.2415	YES
2	0.959	7.068	0.04897	Bus SAN ANTOI	1.890	Bus SAN ANTOI	-0.4269	YES
3	1.364	7.246	0.03780	Bus ODESSA 1 :	1.420	Bus CHRISTINE	-0.6228	YES
4	0.593	7.897	0.07205	Bus BROWNSVI	2.300	Bus BROWNSVI	-0.2949	YES
5	1.602	8.562	0.04887	Bus FANNIN 2 F	2.032	Bus FANNIN 2 F	-0.8650	YES
6	0.732	11.936	0.21348	Bus MONAHAN	4.054	Bus MONAHAN	-0.5529	YES
7	0.324	14.207	0.19906	Bus ODESSA 1 :	5.268	Bus WHARTON	-0.2917	YES
8	0.324	39.346	0.55936	Bus MONAHAN	12.994	Bus WHARTON	-0.8722	YES
9	0.060	39.972	0.03815	Bus ODESSA 1 :	1.196	Bus POINT COM	-0.1645	YES
10	0.964	57.683	0.61264	Bus ODESSA 1 :	18.504	Bus POINT COM	-4.2760	YES
11	0.000	100.000	0.59650	Bus ODESSA 1 :	14.434	Bus WHARTON	-2.5257	YES

Takeaways So Far



- Modal analysis can be quickly done on a large number of signals
 - Computationally is an $O(N^3)$ process for one signal, where N is the number of sample points; it varies linearly with the number of included signals
 - The number of sample points can be automatically determined from the highest desired frequency (the Nyquist-Shannon sampling theory requires sampling at twice the highest desired frequency)
 - Determining how all the signals are manifested in the modes is quite fast!!

Visualizing the Modes



- If the grid has embedded geographic coordinates, the contributions for the mode to each signal can be readily visualized utilizing geographic data views (GDVs)

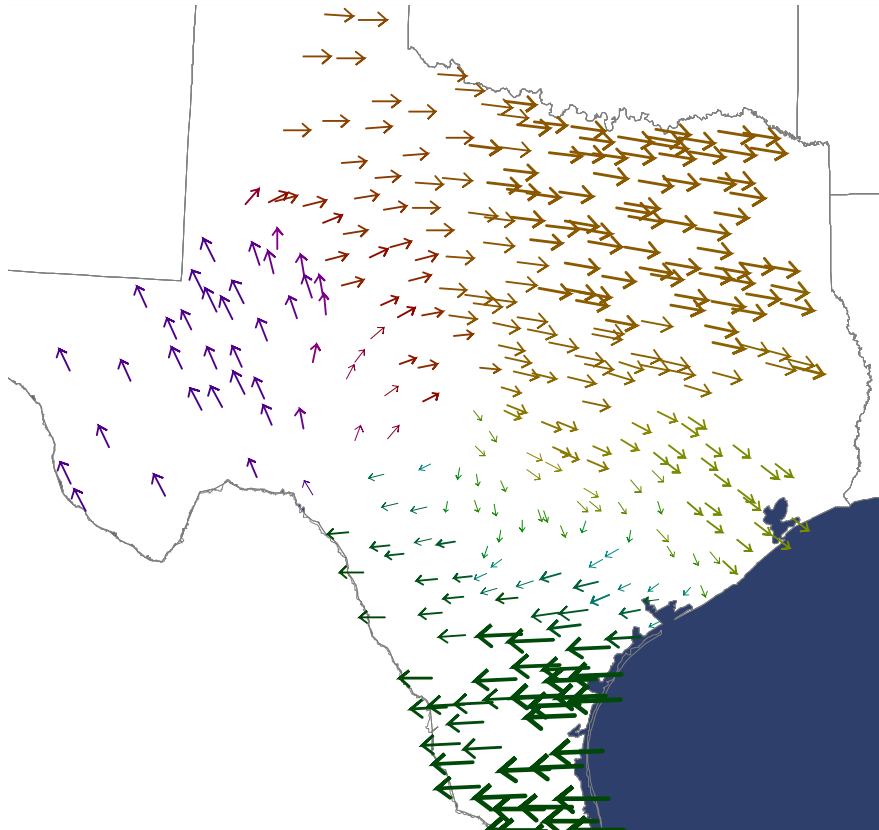
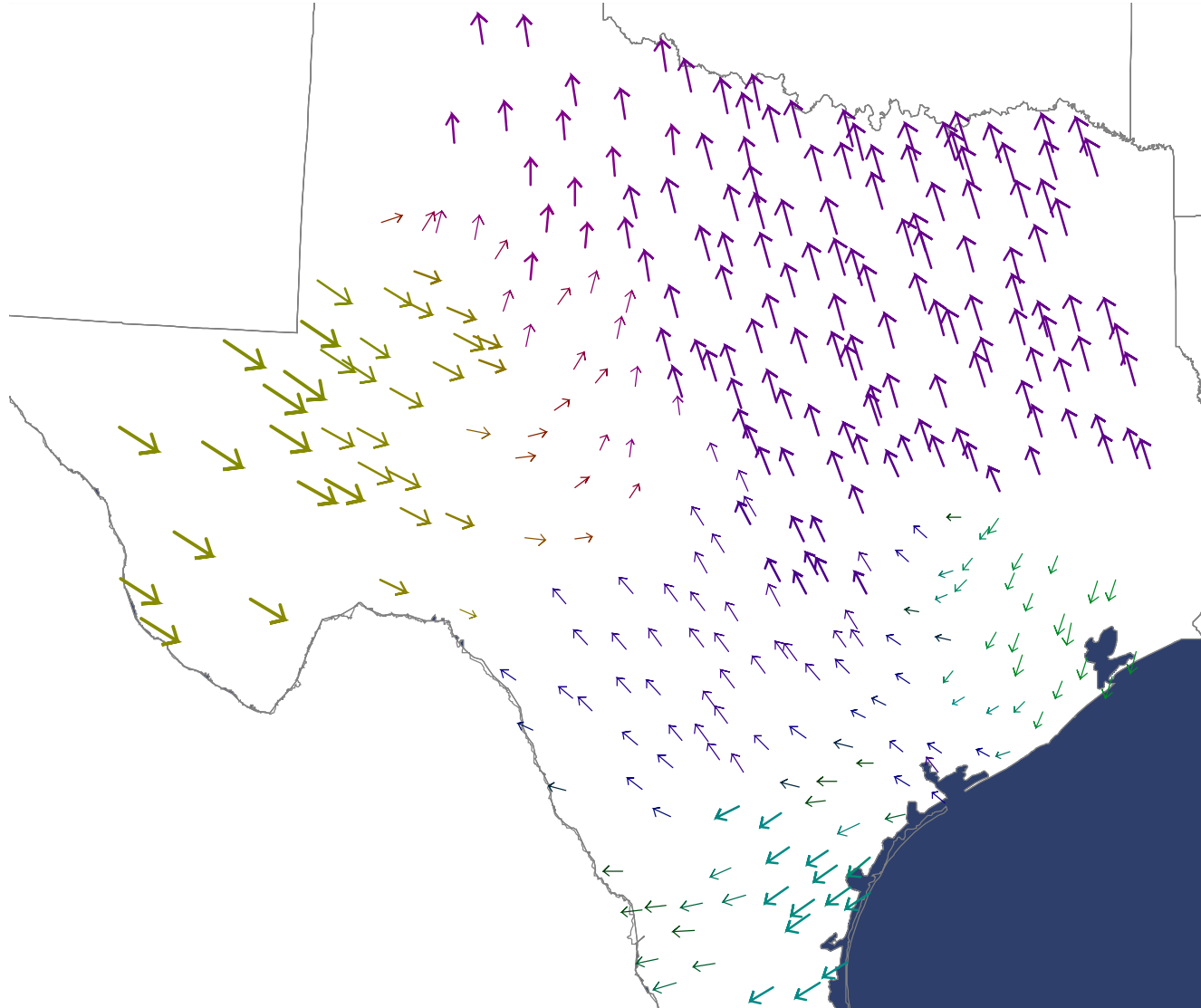


Image shows the magnitudes of the components for the 0.63 Hz mode; the display was pruned to only show some of the values

Visualization of 0.76 Hz Mode



Large Grid Inter-Area Modes



- Analyzing the wide-area dynamic response of electric grids using the concept of modes has been a helpful approach for many years
- In North America much of the work has been done in the WECC, with several identified distinct Inter-Area modes
- Less work has been done on the Eastern Interconnect (EI) and ERCOT, but there are still some identified modes
- Recent research has questioned the extent to which a few distinct modes exist particularly for the EI

A Few North America Grid Oscillation Publications



- There is lots of prior work describing electric grid oscillations. A few examples for North American grid oscillations include
 - F.R. Schleif, J.H. White, “Damping for the Northwest – Southwest Tieline Oscillations – An Analog Study,” IEEE Trans. Power App. & Syst., vol. PAS-85, pp. 1239-1247, Dec. 1966.
 - Interconnection Oscillation Analysis, NERC, July 2019.
 - J. Follum, T. Becejac, R. Huang, “Estimation of Electromechanical Modes of Oscillation in the Eastern Interconnection from Ambient PMU Data,” 2021 IEEE Power & Energy Society Innovative Smart Grid Technologies Conference, Washington, DC, USA, Feb. 2021.
 - *Modes of Inter-Area Oscillations in the Western Interconnection*, Western Interconnection Modes Review Group, WECC, 2021.
 - R.T. Elliott, D.A. Schoenwald, “Visualizing the Inter-Area Modes of the Western Interconnection,” IEEE PES 2022 General Meeting, Denver, CO, July 2022.
 - J. Follum, N. Nayak, J. Eto, “Online Tracking of Two Dominant Inter-Area Modes of Oscillation in the Eastern Interconnect,” 56th Hawaii International Conference on System Sciences, Lahaina, HI, Jan. 2023.
 - T.J. Overbye, S. Kunkolienkar, F. Safdarian, A. Birchfield, “On the Existence of Dominant Inter-Area Oscillation Modes in the North American Eastern Interconnect Stability Simulations”, 57th Hawaii International Conference on System Sciences, Honolulu, HI, January 2024.

North America Grid Oscillation Modes



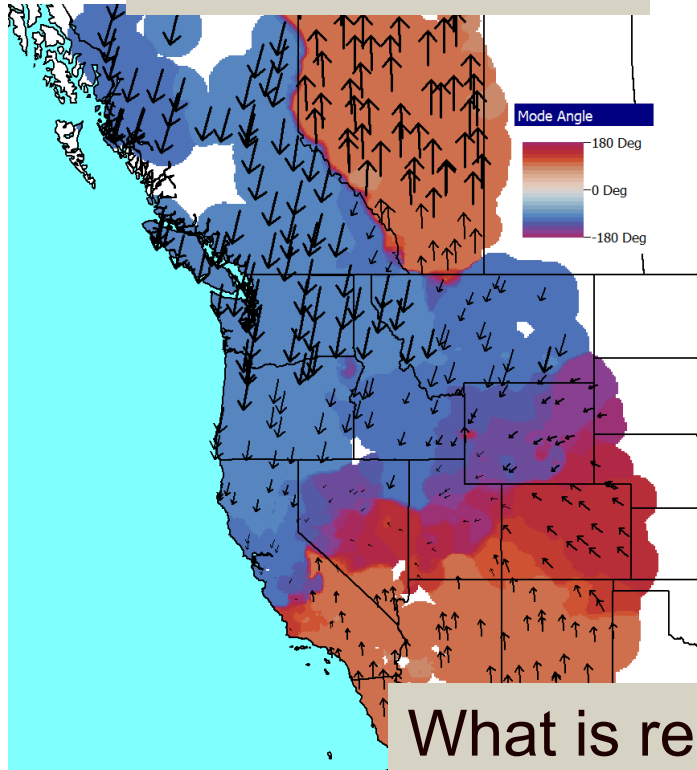
- In North American grids there are identified modes that have names, examples include
 - WECC North-South A (NSA): Alberta vs System (0.20 to 0.30 Hz) (10 - 25% damping)
 - WECC North-South B (NSB): Alberta vs BC+N US vs S US (0.35 to 0.45 Hz) (5-10%)
 - WECC East-West A (EWA): Colorado + E. Wyoming vs System (0.35 to 0.45 Hz)
 - WECC British Columbia A (BCA): BC vs N. US vs S. US (0.50 to 0.72 Hz)
 - WECC BCB W. edge vs System vs E. edge (0.60 to 0.72 Hz)
 - Eastern Interconnect (EI) Northeast vs South (NE-S) (0.15 to 0.22 Hz) (10 – 25%)
 - EI Northeast vs Midwest (NE-MW) (0.18 to 0.27 Hz) (10 – 25%)
- If they exist, at a particular operating point a mode will have a frequency, a damping and a shape, with these values changing some as the operating point changes

WECC Example 1

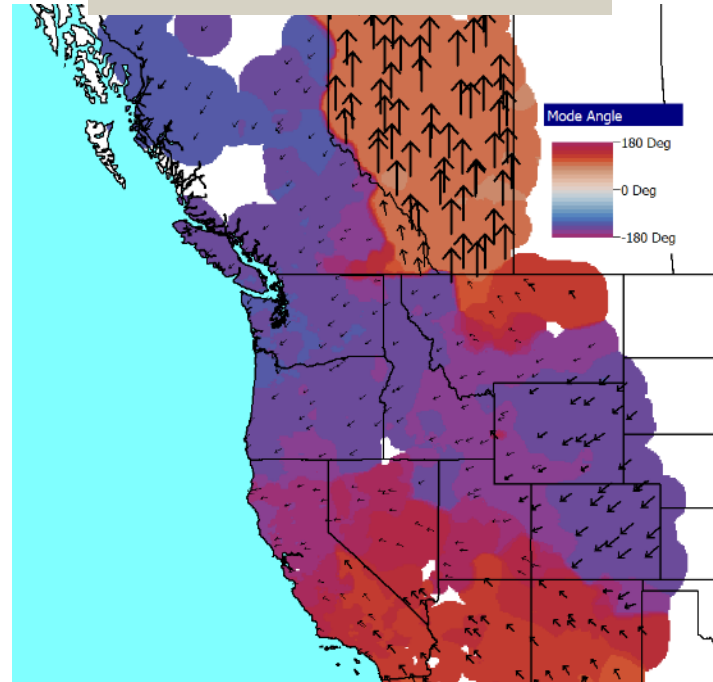


- Using a 2022 series, 25,000 bus WECC case at the same operating point for three different disturbances, the below modes are observed

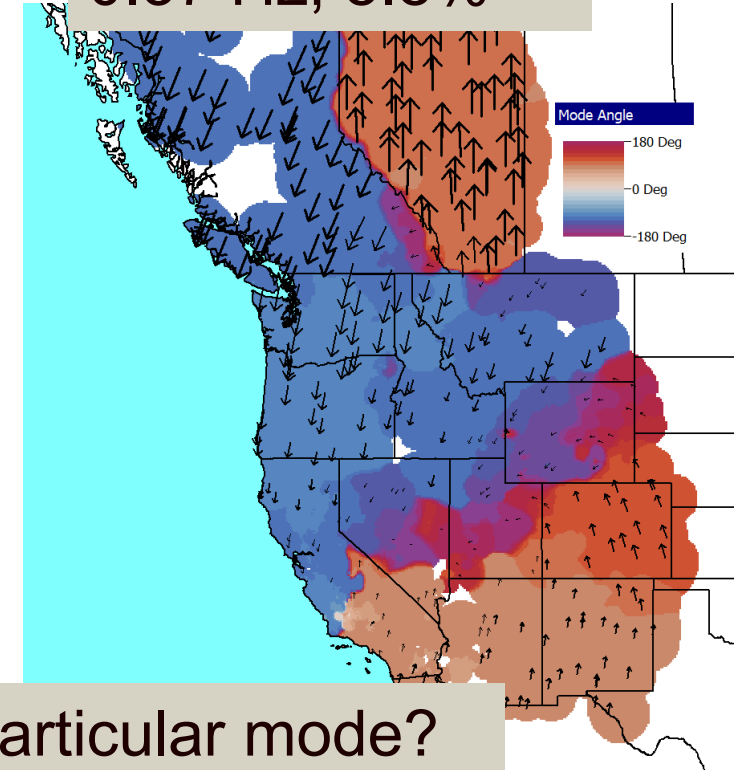
Disturbance A,
0.35 Hz, 12.6%



Disturbance B,
0.34 Hz, 10.0%



Disturbance C,
0.37 Hz, 8.8%



What is required to say the grid has a particular mode?

WECC Example 2

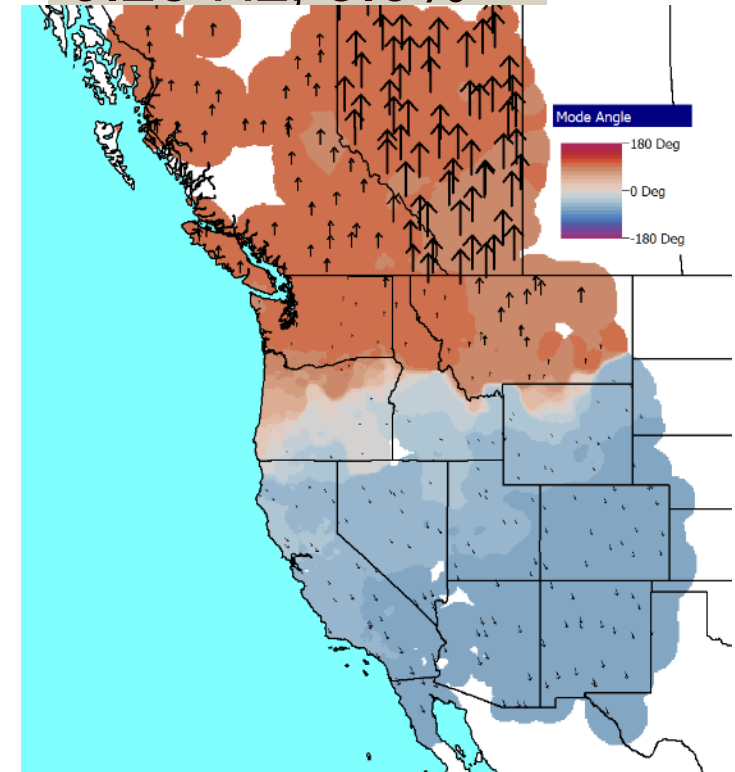
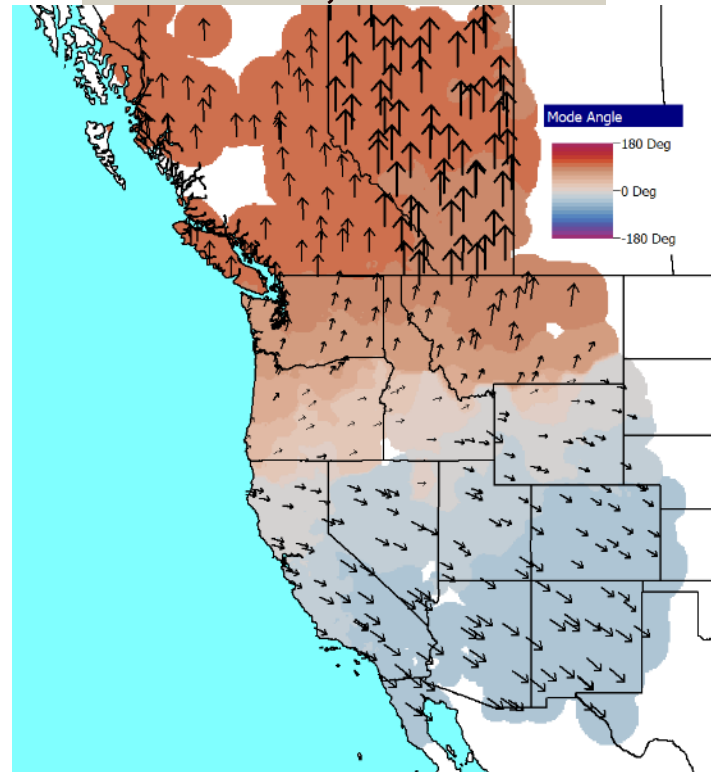
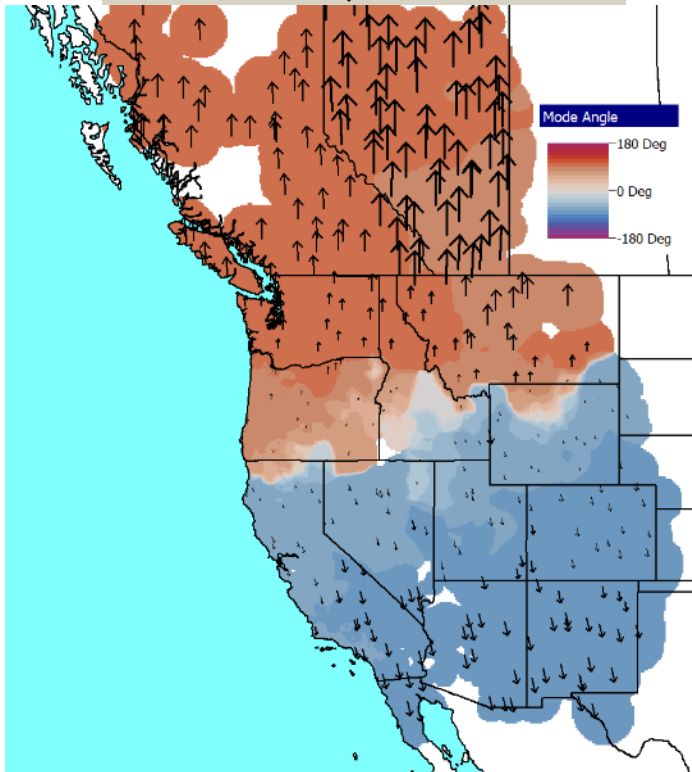


- Same operating point as Example 1

Disturbance A,
0.24 Hz, 15.5%

Disturbance B,
0.23 Hz, 11.6%

Disturbance C,
0.25 Hz, 5.0%



The results for these two examples are showing fairly consistent modes; these are the best observed ones in the WECC simulations

A Particular Focus is on Distinct Mode Existence

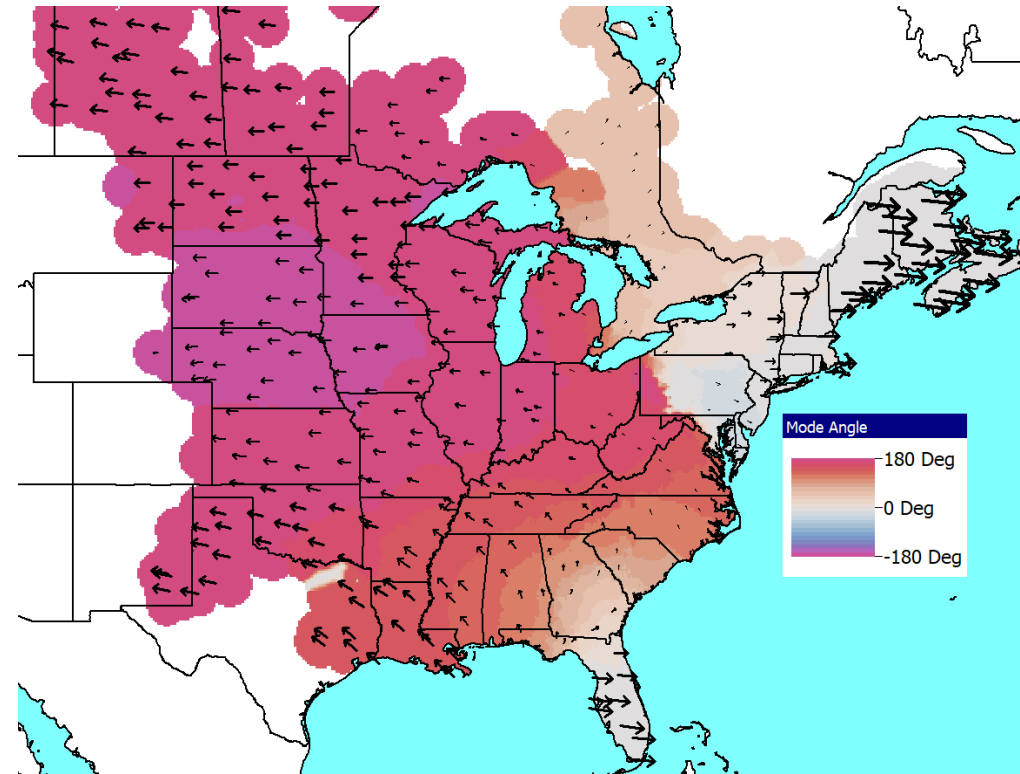
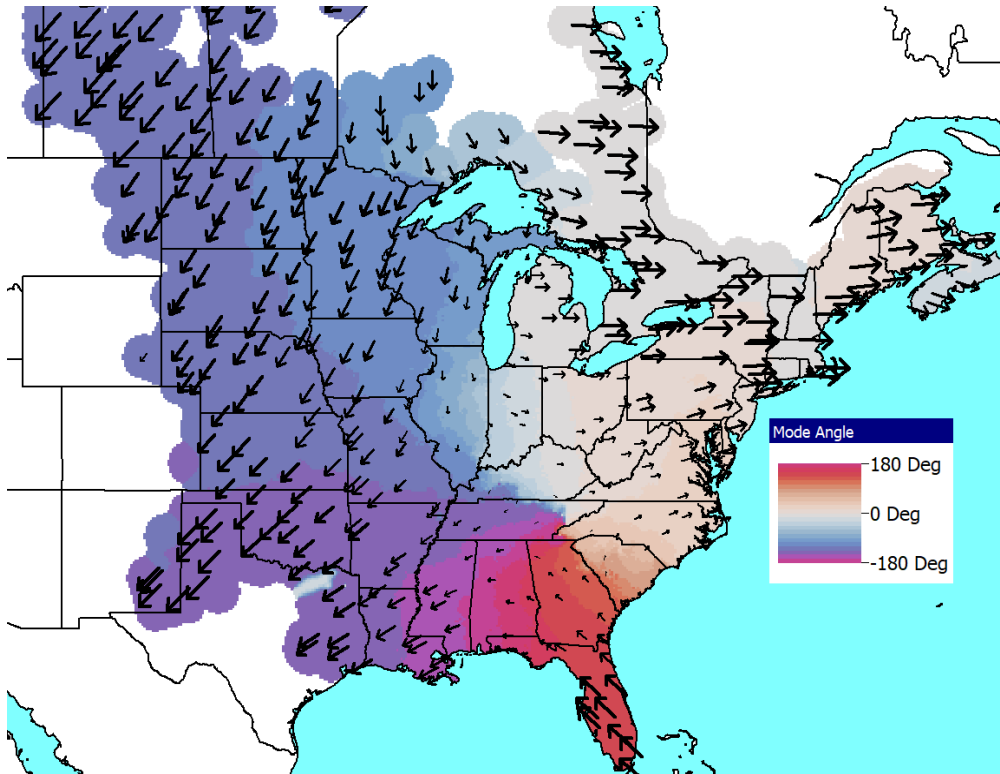


- Earlier literature mentions
 - Northeast vs South (NE-S) mode with a frequency of between 0.15 to 0.22 Hz, (10 – 25% damping)
 - Northeast vs Midwest (NE-MW) mode with a frequency of between 0.18 to 0.27 Hz, (10 – 25% damping)
- Same procedure as before is used. That is, to apply a series of disturbances to the same operating point, calculate the modes using the IMP, and see if distinct modes are observed
 - The same previous three disturbance types are used

Analysis of Potential 0.27 Hz Mode



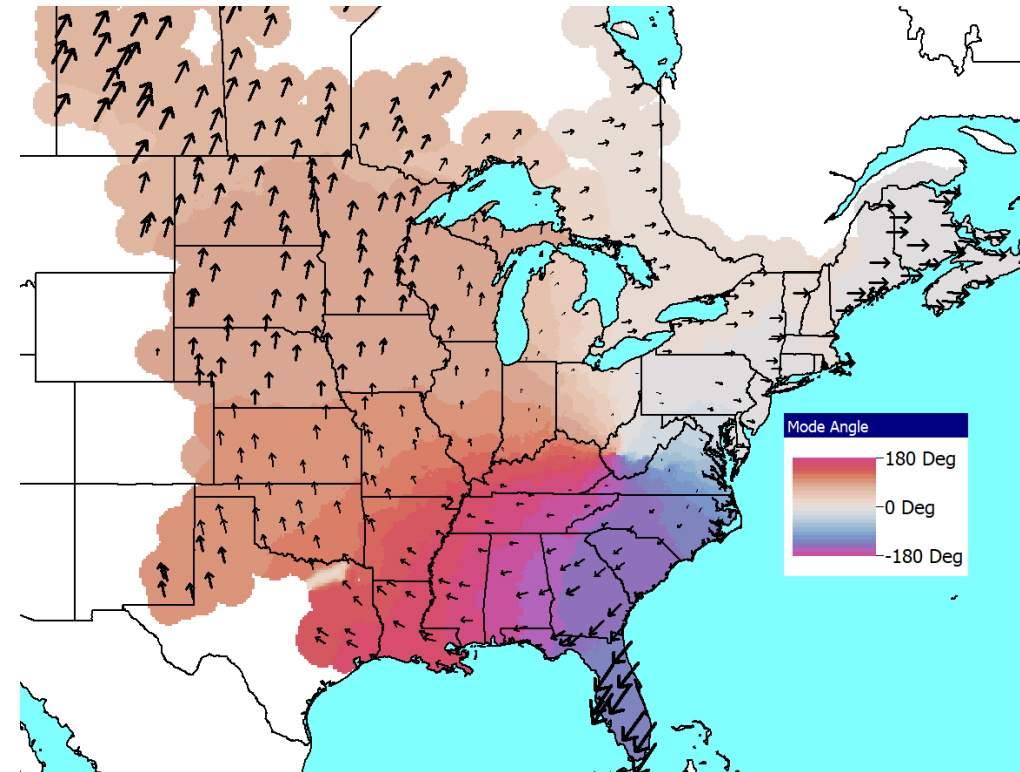
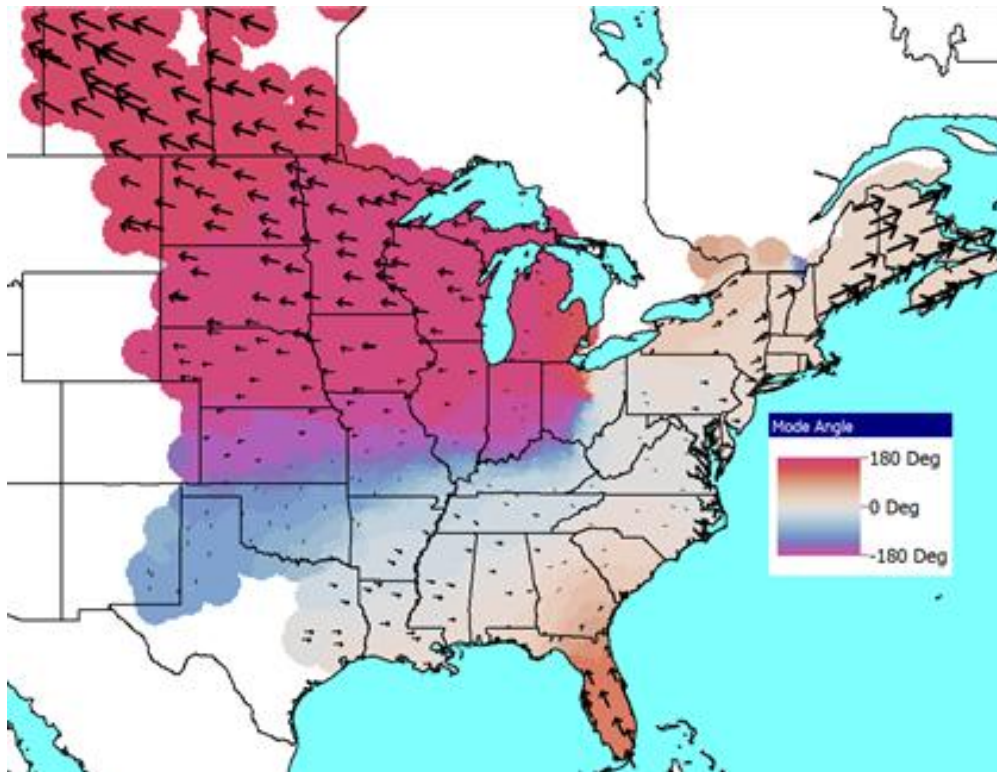
- Left image shows visualization of the 0.27 Hz mode (21.3%), while the right image shows a 0.25 Hz mode (20.2%) that is observed when the disturbance is changed to opening a generator in Nebraska



Analysis of Mississippi and Florida



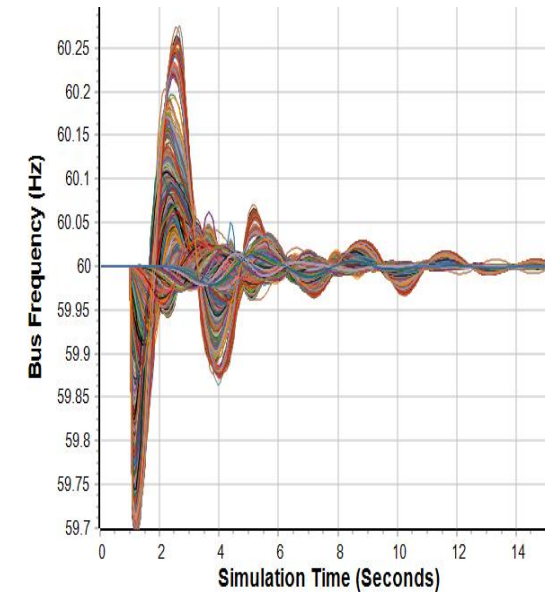
- The same approach is applied to opening large generators in Mississippi (0.25 Hz with 23.5%) and Florida (0.23 Hz with 21.5%)



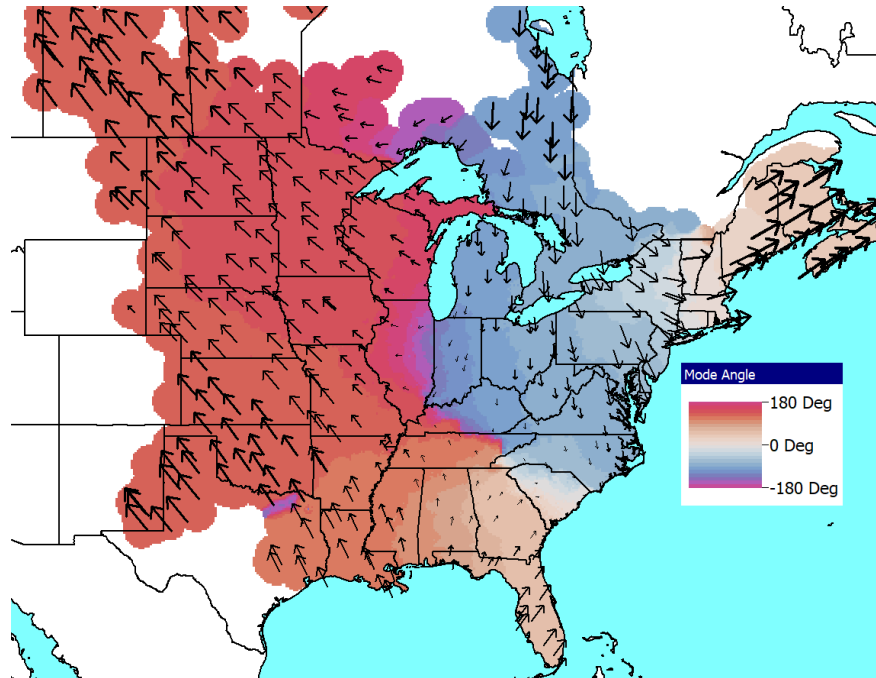
New England, Just Frequency Change



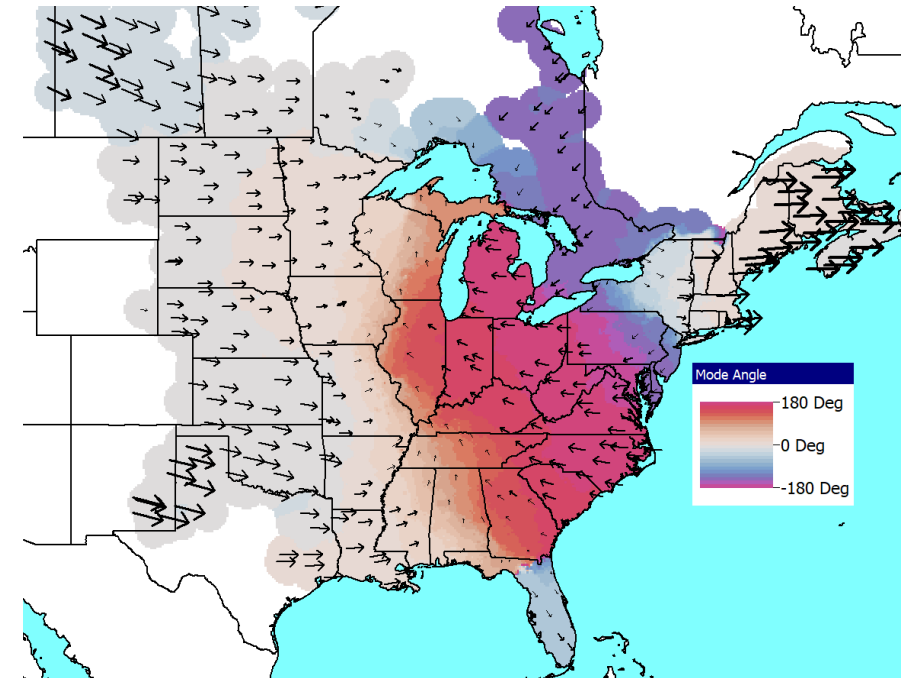
- Since opening generators changes the grid (albeit slightly in such a large grid), the frequency change disturbance can be used



0.22 Hz, 27.1% Damping



0.35 Hz, 15.0% Damping



New England 0.27 Hz Forced Oscillation



- The last disturbance is a 0.27 Hz forced oscillation in New England

